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Total Number of Pages in This Submission

7

Application Number

09/864,415

Filing Date

May 23, 2001

First Named Inventor

R. Michael Daley

Art Unit

3691

Examiner Name

Lalita M. Hamilton

Attorney Docket Number

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☒

Fee Attached

☒

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☒

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

JENSEN & PUNTIGAM, P.S.

Signature

*Clark A. Puntigam*

Printed name

Clark A. Puntigam

Date

March 30, 2007

Reg. No.

25,763

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: R. Michael Daley et al  
Serial No : 09/864,415  
Filed : May 23, 2001  
Title : SYSTEM AND METHOD FOR MANAGING INVESTMENT  
ACCOUNTS FOR FINANCIAL INSTITUTION WHICH PROVIDES  
INVESTMENT ASSISTANCE TO INDIVIDUAL CLIENTS

March 30, 2007

MAIL STOP AMENDMENT  
COMMISSIONER OF PATENTS  
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AMENDMENT

Dear Sir:

Reconsideration of the application in view of the amendments to the claims and the  
Remarks is respectfully requested.

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